

Table S-22
Report of Complaints Commenced and Action Taken Under Authority of 28 U.S.C. §§ 351-64
During the 12-Month Period Ending September 30, 2016

Summary of Activity	Circuits														National Courts ¹	
	Total	Federal	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
Complaints Pending on September 30, 2015 ²	444	3	27	15	20	29	26	19	124	9	13	82	12	63	2	0
Complaints Filed	1,303	20	61	49	107	75	168	149	104	101	91	163	51	155	9	0
Sources of Complaints																
Filed by Complainant	1,302	20	61	49	107	75	168	149	104	100	91	163	51	155	9	0
Identified by Circuit Chief Judges	1	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0
Complainants ³																
Prison Inmates	550	12	1	9	20	28	82	84	56	39	47	76	13	81	2	0
Litigants	678	8	41	37	76	46	68	61	47	60	41	81	30	75	7	0
Attorneys	42	0	18	2	6	2	0	3	2	1	2	4	2	0	0	0
Public Officials	3	0	1	0	0	0	0	1	0	0	0	0	1	0	0	0
Other	43	0	0	1	4	0	18	5	1	1	5	4	4	0	0	0
Judges Complained About																
Circuit Judges	311	9	38	18	37	12	32	19	17	26	23	33	14	29	4	0
District Judges	723	7	23	23	55	50	111	92	64	69	47	81	22	75	4	0
Court of International Trade Judges	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Court of Federal Claims Judges	5	4	0	0	0	0	0	0	0	0	0	0	0	0	1	0
Bankruptcy Judges	39	0	0	1	6	4	8	2	2	2	2	3	3	6	0	0
Magistrate Judges	225	0	0	7	9	9	17	36	21	4	19	46	12	45	0	0
Nature of Allegations ³																
Erroneous Decision	1,070	9	36	46	65	75	123	130	83	90	73	149	46	141	4	0
Delayed Decision	71	4	2	1	4	0	9	19	6	1	2	10	0	12	1	0
Failure to Give Reasons for Decision	12	1	0	0	0	0	0	0	0	0	6	4	0	1	0	0
Improper Discussions With Party or Counsel	82	0	4	1	0	0	22	10	0	0	13	19	0	13	0	0
Hostility Toward Litigant or Attorney	99	1	4	5	14	1	16	13	2	0	16	14	1	10	2	0
Racial, Religious, or Ethnic Bias	128	0	3	2	2	0	43	7	0	2	29	14	3	21	2	0
Personal Bias Against Litigant or Attorney	320	5	5	16	14	0	44	60	8	1	29	56	10	72	0	0
Conflict of Interest (Including Refusal to Recuse)	144	1	1	4	0	1	49	22	0	2	11	29	3	21	0	0
Failure to Meet Financial Disclosure Requirements	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Table S-22. (September 30, 2016—Continued)

Summary of Activity	Circuits														National Courts ¹	
	Total	Federal	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
Nature of Allegations (Continued)																
Improper Outside Income	3	0	0	0	0	0	0	0	0	0	3	0	0	0	0	0
Partisan Political Activity or Statement	4	0	1	1	0	0	1	0	1	0	0	0	0	0	0	0
Acceptance of Bribe	9	0	0	0	0	0	0	0	0	0	4	2	0	3	0	0
Effort to Obtain Favor for Friend or Relative	17	0	0	0	0	0	11	0	0	0	2	4	0	0	0	0
Solicitation of Funds for Organization	1	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0
Violation of Other Standards	130	5	0	11	0	2	34	2	12	1	39	1	0	21	2	0
Other Misconduct	354	10	44	19	10	45	61	46	2	7	0	40	28	42	0	0
Disability	47	0	0	2	0	0	8	12	4	1	0	10	3	7	0	0
Actions Regarding Complaints																
Withdrawn	2	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0
Complaint Withdrawn with Consent of Circuit Chief Judge	2	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0
Withdrawal of Petition for Review	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Actions by Circuit Chief Judge																
Matters Returned from Judicial Council or Judicial Conference Committee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Complaint Dismissed in Whole or in Part ³	1,192	18	82	31	94	79	154	112	71	92	96	183	37	134	9	0
Not Misconduct or Disability	99	0	0	5	0	0	9	14	5	6	16	40	2	2	0	0
Merits Related	974	7	39	29	70	72	141	100	58	53	89	160	33	122	1	0
Frivolous	262	0	0	13	8	78	1	35	13	22	57	34	0	1	0	0
Allegations Lack Sufficient Evidence	875	2	68	19	63	78	144	69	16	14	79	161	36	125	1	0
Allegations Incapable of Being Established	7	0	0	0	2	0	0	1	0	0	2	2	0	0	0	0
Filed in Wrong Circuit	17	9	0	0	0	0	0	0	0	0	0	0	0	0	8	0
Otherwise Not Appropriate	18	1	0	0	0	0	0	0	0	1	14	0	0	2	0	0
Complaint Concluded in Whole or in Part	19	0	5	0	0	0	3	1	1	1	0	0	2	6	0	0
Informal Resolution Before Complaint Filed	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Voluntary Corrective Action Taken	3	0	0	0	0	0	0	0	1	1	0	0	1	0	0	0
Intervening Events	16	0	5	0	0	0	3	1	0	0	0	0	1	6	0	0
Complaint Referred to Special Committee	4	0	0	0	0	0	0	0	0	2	0	0	0	2	0	0

Table S-22. (September 30, 2016—Continued)

Summary of Activity	Circuits														National Courts ¹	
	Total	Federal	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
Actions by Special Committees																
Matter Returned From Judicial Council	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
New Matter Referred to Circuit Chief Judge	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Judicial Council Proceedings																
Matter Returned from Judicial Conference	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Complaint Transferred to/From Another Circuit	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Special Committee Reports Submitted to Judicial Council	3	0	0	0	0	1	0	1	0	1	0	0	0	0	0	0
Received Petition for Review	553	10	28	14	56	34	110	47	17	49	45	78	26	36	3	0
Action on Petition for Review																
Petition Denied	621	10	28	9	53	38	111	52	76	49	45	95	15	37	3	0
Matter Returned to Circuit Chief Judge	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Matter Returned to Circuit Chief Judge for Appointment of Special Committee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Received Special Committee Report	3	0	0	0	0	1	0	1	0	1	0	0	0	0	0	0
Action on Special Committee Report ³																
Complaint Dismissed	2	0	0	0	0	2	0	0	0	0	0	0	0	0	0	0
Not Misconduct or Disability	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0
Merits Related	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Allegations Lack Sufficient Evidence	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0
Otherwise Not Appropriate	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Corrective Action Taken or Intervening Events	1	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0
Referred Complaint to Judicial Conference	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Remedial Action Taken																
Censure or Reprimand	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0
Suspension of Assignments	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0
Action Against Magistrate Judge	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Removal of Bankruptcy Judge	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Requesting of Voluntary Retirement	1	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0
Certifying Disability of Circuit or District Judge	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Table S-22. (September 30, 2016—Continued)

Summary of Activity	Circuits														National Courts ¹	
	Total	Federal	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
Additional Investigation Warranted																
Returned to Special Committee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Retained by Judicial Council	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Actions by Chief Justice																
Transferred to Judicial Council	1	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
Received From Judicial Council	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
Complaints Terminated by Final Action																
During 12-Month Period Ending																
September 30, 2016	1,276	18	77	27	90	76	158	111	133	98	98	198	36	145	11	0
Complaints Pending on September 30, 2016	471	5	11	37	37	28	36	57	95	12	6	47	27	73	0	0

¹ CC = U.S. Court of Federal Claims; CIT= U.S. Court of International Trade.

² Revised.

³ Number of complainants may not equal total number of filings because each complaint may have multiple complainants. Each complaint may involve multiple allegations. Each complaint may have multiple reasons for dismissal.